

# STATEMENT OF INTENT

PTE INSTITUTION INFORMATION	
PTE PI	
PTE Institution	
Project Title	

COOPERATING INSTITUTION INFORMATION			
Cooperating Inst.			
PI Name		ERA Commons ID	
PI Phone	PI Fax	PI E-Mail	
Project Period		Direct Costs	Total Costs
Performance Site		F&A Costs	

BUSINESS CONTACT INFORMATION			
Name			
Address			
Bus. Phone	Bus. Fax	Bus. E-Mail	
UEI/DUNS #		Congressional District #	

PROJECT INFORMATION	YES/NO	ASSURANCE #	APPROVAL DATE OR PENDING
Human Subjects	Choose one:		
Vertebrate Animals	Choose one:		
Human Embryonic Stem Cells	Choose one:		
Inventions And Patents <i>(For Renewal Applications)</i>	Choose one:		
Program Income	Choose one:		

## CERTIFICATIONS

In signing below and offering to participate in this research program, the Cooperating Institution certifies that neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt; they are in compliance with the Drug Free Workplace Act of 1988; they are in compliance with U.S. Code, Section 1352, restrictions on the use of federal funds for the purpose of lobbying; they have filed annually with the Office of Scientific Integrity a PHS form 6349 governing Misconduct in Science; they have filed with DHHS compliance offices certification forms governing Civil Rights (441), Handicapped Individuals (641), Sex Discrimination (639-A), and Age Discrimination (680); they are in compliance with PHS policy governing Program Income; they have established policies in compliance with 45 CFR Part 46, Subpart A (protection of human subjects); the Animal Welfare Act (PL-89-544 as amended) and the Health Research Exchange Act of 1985 (Public Law 99-158); and that they are in compliance with NIH guidelines regarding human pluripotent stem cell research, transplantation of fetal tissue, recombinant DNA and human gene transfer research, and inclusion of women, children & minorities in research.

The appropriate programmatic and administrative personnel of each institution involved in this grant application are aware of the PHS-NIH consortium grant policies and are prepared to establish the necessary inter-institutional agreements consistent with those policies. In signing below, the Cooperating Institution certifies it has implemented and is enforcing a written policy of conflicts of interest consistent with the provisions of 42 CFR Part 50, Subpart F & 45 CFR Subtitle A, Part 94. If a conflict is identified by the Cooperating Institution during the period of the award contemplated under this agreement, the Cooperating Institution will report to the Prime Awardee the existence of the conflict, including the grant title, PI (if different from the investigator with the financial interest) and the specific method the Cooperating Institution adopts for addressing the conflict (managing, reducing, or eliminating it). The Cooperating Institution will rely on the Prime Awardee to report the existence of the conflict to NIH.

If the Prime Awarding Sponsor is NIH and the Cooperating Institution is a Foreign organization as defined by the NIH Grants Policy Statement: the Cooperating Institution agrees to abide by the requirements of the NIH Final Updated Policy Guidance for Subaward/Consortium Written Agreement (NOT-OD-23-182), and will provide access to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report, to the PTE with a frequency of no less than once per year, in alignment with the timing requirements for Research Performance Progress Report submission. Such access may be entirely electronic.

If the Prime Awarding Sponsor is a U.S. federal agency and the Cooperating Institution is a foreign organization, the Cooperating Institution certifies they are aware of the Foreign Talent Recruitment Program requirements of the CHIPS and Science Act of 2022 (Subtitle D Research Security, Sections 10631, 10632, and 10638 of the U.S. Public Law 117-167) and are willing to abide by all requirements should an award be issued.

## COOPERATING INSTITUTION BUSINESS OFFICIAL:

\_\_\_\_\_  
Name and Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

# STATEMENT OF WORK

Please type or copy and paste the statement of work in the area below.